



A K SARAWGI & Co. *Chartered Accountants*

A 1206, Bhoomi Gardenia 2, Sector 20, Roadpali, Kalamboli, Navi Mumbai -410218

To,
Mr. S R varun Sai Ram
WILLIAM ONEIL INDIA PRIVATE LIMITED
3rd Floor, 72, 73, Akshay Tech Park, 3rd Cross Road
Good Works Cowork, Whitefield, Bangalore
Karnataka, 560066

Sub: Annual certificate confirming compliance with the client level segregation requirements as specified in Regulation 22 of the IA Regulations

Dear Sir,

We have verified the records, books and papers of WILLIAM ONEIL INDIA PRIVATE LIMITED (herein referred as "RIA"), SEBI Registration No- **INA200005125**, for Investment Advisory activities as required by SEBI Regulations.

SEBI, vide circular no SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020, issued guidelines for Investment Advisers. As per clause 3 of the said circular:

Client level segregation of advisory and distribution activities, agreement and fees to be charged are aligned together. IA shall ensure compliance with measures stated above at clause 2(i), 2(ii) and 2(iii) latest by April 01, 2021.

This is to certify that RIA is not involved in distribution activities during the period April, 2023 to March, 2024. Accordingly, the RIA is in compliance with the requirement of SEBI circular SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020.

For A K Sarawgi & Co.
Chartered Accountant
Firm Registration no. 145517W

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CA Amit Kumar Sarawgi
Proprietor
M. No. 177664
UDIN: 24177664BKEEPD5755
Date: 24th September, 2024